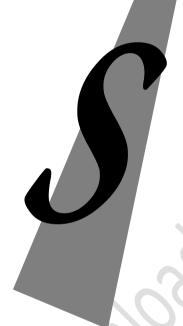


MINISTRY OF HOUSING & CONSTRUCTION

APPLICATION for REGISTRATION, GRADING AND MONITORING OF CONSTRUCTION CONTRACTORS

SPECIALIST CONSTRUCTION CONTRACTORS





Construction Industry Development Authority "Savsiripaya"
123, Wijerama Mawatha
Colombo 07.

Tel: 2699801, 2695965

Publication No. : CIDA/ID/19(E)

Revised Edition: June 2016

Price : Rs. 400/=

FOR CIDA OFFICE USE ONLY

	1 C		
Registered Na	me of Contractor:		
	Records of	k Done during last 05 years	
YEAR	TURN OVER OF T YEAR (Rs. Million)	TODAY'S VALUE (Rs. Million)	ANY OTHER REMARKS
			1/1
			4
			30
		(0)	P
TOTAL TURN	OVER (Rs. Million)		
		(0)	
DETAILS ON LA	ARGEST JOB COMPL	OTHER DETAILS	
PREVIOUS REC	ORDS	Finance : Cash : Others	
		Prof. Staff :	
		Tech. Staff	
CURRENT REC	ORDS (on Largest job)		
		Machinery & Equipm	ent
		Others	
OTHER DETAILS	& CALCULATIONS	'	

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DATE	DOCUMENTS REQUESTED	INFORMED TO
		50
	73	.0
	C(O)	
	100	
	100	
00		

(FOR CIDA OFFICE USE ONLY)

<u>Documents to be checked at the Receipt of Application – by the Information Officer</u>

Contractor	CIDA No	······	Ref No	•••••
			Date	•••••
No application (New, Renewal or Upg submitted. All the missing documents should be sub application will be rejected.				
при поменения		Documents	Remarks	
Details of Business Registration. a. Individual proprietor/partnership Copies Business registration	<u> </u>	YES NO		
b. Limited liability company				
 Form 01/form 40 - for Registered Name of the C 	Company	YES NO		
Registered Address		YES NO		
Names of Directors		YES NO		
Share distribution (for for	reign collaboration)	YES NO		
- <u>Form 20</u> - for				
Information on change of	Directors.	YES NO		
2. Bank letters	9,	YES NO		
3. Signed affidavit in the application (Date/Place/Rs. 50/- Stamps)		YES NO		
For grades EM3/SP3 and above Copies of audited statements		YES NO		
5. C forms with <u>names highlighted</u>		YES NO		
6. Records of work done with tags fixed to	o identify the work			
- Largest project in different sp	ecialties	YES NO		
- Last 5 years		YES NO		
7. Availability of NCASL Membership		YES NO		
8. Have you furnished information request of Census & Statistics for the annual cet (please submit reply from Dept. of Cen	ensus	YES NO		
(picase sadmic reply from Dept. of Cell	sus us evidence)		l	
Checked by	Da	ate		
(Information Officer)				
Agreed by the Contractor -				
	Signature			

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APPLICATION FOR REGISTRATION, GRADING AND MONITORING AS A SPECIALIST CONSTRUCTION CONTRACTOR

INSTRUCTIONS:

- The applicants are strongly advised to consult the Guidelines for Registration, Grading and Monitoring of Specialist Construction Contractors (CIDA/ID/10-S) before filling this form. They are also free to consult "Information Centre" of CIDA for further clarifications.
- The sections A, B, C, D and E of this Application Form should be duly perfected and forwarded to the "Information Centre" of CIDA directly.
- In the event where an item does not apply to the applicant, "Not Applicable" should be inserted against the item concerned.
- This duly perfected application should be submitted with all the necessary supporting documents, which should be numbered in a sequence. Further, the supporting documents must be properly compiled as a series of enclosures each of which must be labeled according to relevant paragraph of this application form. The photocopies submitted must be authenticated by a Notary public or by an Attorney at Law.
- The affidavit given in Annex (II) of the application should be completed confirming that what 5. has been submitted is true and correct to the knowledge of the contractor.
- If any irregularities are observed in the photocopies of the supporting documents submitted, such copies will be checked with the originals. In this event the originals must be produced.
- At the submission of the application a preliminary screening will be done to ensure that the 7. contractor possesses the basic requirements for registration.
- Deliberate submission of false documentation will result in the rejection of the application. Preliminary investigations will be carried out for such cases & necessary action will be taken as per the Annex 3 of Guideline for Registration, Grading and Monitoring of Construction Contractors (CIDA/ID/10-S). No further applications from such contractors will be accepted until whatever action that has been initiated subsequent to such reporting has been concluded.
- 9. The Contractors Record Book (when obtained to the first time) will be issued only to the owner.

A minimum of two weeks period will be taken to process an application.

Mark ($\sqrt{\ }$) in the appro	priate box applied for	
Ne	w Registration	Additional Registration
Up	grading of Registration	Renewal of Registration

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SECTION A – ORGANISATIONAL INFORMATION

A1	REGISTERED NAME OF]
	CONTRACTOR/					<u> </u>																<u> </u>
	ORGANISATION	Wri	to in	cla	ar F	nal	ich R	lock	Lot	tors	in o	rdor	: to 6	ntar	the	Rus	inass	s Na	mo t	Do Do	ıta l] Base.
		*****	ie in	icie	ui L	ngi	ısıı D		ave i									3 I V CI	me i	о Да	iu I	nuse.
A2	NATURE OF		Sol	le n	ropi	riet	or						P	artn	ersl	hip						7
112	ORGANISATION			·······································	- · r				L		_					-г				L		
	(As given on the Business Registration)				Ltc ity (npar	ıy						riva iabi			omp	any				
			Sta	tute	ory l	Во	dy							Com Orga			Bas n	sed)		
A3	REGISTERED ADDRESS																	•				
	(As given on the Business Registration)		• • • •			•••		• • • •			••••	••••).		••••			• • • •	
	District									•					O							
	Province																					
	Tel. No.																					
	Fax																					
	Web Site						1															
	Email				Y																	
A4	NAME & DESIGNATION OF											Tel	l. No	о.	:					· • • • •		
	PERSON TO CONTACT FOR											Fax	ζ		:							
	CLARIFICATIONS											ΕN	/Iail		:							
	(IF ANY)	Per	cen	tag	e ov	vne	d by	Na	tior	nals	of	Sri	Lan	ka								
A5	ORGANIZATION DETAILS		CC11	ius	.	V 11C	u o,			Iui	, 01		Lun	ı								
				·			ted l	iabi	ility	coi	mpa	iny	or s	tatı	utor	y be	ody					
					on N						••••	• • • •		••••	• • • • •		••••	• • • •	• • • • •	• • • • •	• • •	
					egis			٠.		•										• • • • •	• • • •	••••
							e Ca	pita	.I			• • • •	• • • •		••••	• • • •		••••	• • • •		• • • •	••••
							oital	: c .		.4: -		.1		4						1.	1 1.	<u>·····</u>
		For Statutory bodies information relevant to its Incorporation should be given separately.																				
		In	case	e of	a s	ole	pro	prie	tor	or j	pari	tner	shi	p								
		Bu	sine	ss I	Regi	istr	atio	ı No	Э.			• • • •					••••				•••	
		Da	te o	f R	egis	tra	tion				••••	• • • •		••••			••••		• • • • •		•••	
		CII)A	Reg	gistr	ati	on N	o. (if a	vai	labl	e) .										
		Da	te o	f Fi	rst I	Reg	gistra	atio	n				• • • •	· · · · ·		• • • •				· • • • •		

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A6	NAMES AND QULIFICATIONS (Other than the names given			
	Name	• National Identity Card Number	Qualification	EV
1				
2				
3				
4				

SELF EVALUATION	MARKS BY CIDA

• Attach photo copies of National Identity Cards.

A7 FIELDS OF REGISTRATION AND GRADES APPLIED F	FOR SPECIALIST CONSTRUCTION CONTRACTORS
Electrical & Mechanical Services (EM)	Specialised Construction Contractors (SP-C)
 Electrical Installation (Low Voltage) (EI-LV) Electrical Installation (Medium Voltage) (EI-MV) Elevators, Escalators & Travellators (EET) Extra Low Voltage Installations (Data, Telecommunication, Security Systems, Public Address Systems, Pipe Music Systems) (ELV) 	Finishing Trades Carpentry & Joinery (Carp)
 Fire Detection, Protection & Suppression (FDPS) Generators (Gen) Heavy Steel Fabrications (HSF) LP Gas Systems (LPG) Mechanical Ventilation and Air Conditioning (MVAC) Medical Gas Systems (MG) Plumbing & Drainage (PD) Refrigeration (RF) 	Other Specialised Trades Aluminium & Finishes (A&F)

A8	IF ANY OF THE OWNER / PA ORGANIZATIONS FURNISH THE FO		E INTEREST IN O	THER CONTRACTING
	NAME OF THE PERSON	ORGANIZATION	CIDA REG. NO.	INTEREST
1				
2				

SECTION B - FINANCIAL RESOURCES

FINANCIAL FACILITIES FROM BANKS OR OTHER INSTITUTIONS (approved by the Central Bank)							
FACILITY	VALUE	NAME OF THE INSTITUTION					
Permanent Overdraft							
Fixed Deposits							
Wealth Certificates							
Current Accounts							
Saving Accounts							

SELF EVALUATION	MARKS BY CIDA

EXTRACTS FROM THE FINANCIAL STATEMENTS FOR LAST 3 YEARS							
	20	20	20				
Turnover from construction							
Fixed assets (FA)		M					
Current Assets (CA)		.01,					
Current Liabilities (CL)	\ \ \ \						
Long term liabilities (LL)							
Working Capital (CA-CL)	70%						
Net Worth (CA+FA-CL-LL)							

SELF EVALUATION	MARKS BY CIDA

- Attach audited annual Financial Statements for last three years.
- For a new organization, wealth and income of Partners/Sole Proprietor need to be submitted in a separate sheet.

SECTION C-PERSONNEL RESOURCES

PROFESSIONAL & OTHER TECHNICAL STAFF - Regular / In-house Staff Only

Documents to be attached:

- 1. Evidence of Employment (Give details of EPF paid copies of "C" Forms six months pervious & Central Bank payment records)
- 2. Copies of Educational, and Professional Certificates
- 3. Documents to prove relevant experience
- 4. Curriculum Vitae in a format as shown in Annexure I (Reproduce if necessary)

C1 <u>ELECTRO MECHANICAL (EM) - TRADES ONLY</u>

NAME	FIELD OF SPECIALITY	NIC NUMBER	MEMBERS EPF NO.	PROFESSIONAL QULIFICATIONS AND MEMBERSHIP NUMBER	SELF EVALUATION	MARKS BY CIDA
a. Chartered Engineer/ Member of Chartered Institute Building Services Engineering (CIBSE) (UK), with 15 years experience		0.10				
1						
2						
3						
b. Chartered Quantity Surveyor with 15 years experience						
1						
2						
c. Chartered Engineer/ Member of CIBSE (UK), with 10 years Experience						
1						
2						
d. Chartered Quantity Surveyor with 10 years experience						
1						
2						

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NAME	FIELD OF SPECIALITY	NIC NUMBER	MEMBERS EPF NO.	PROFESSIONAL QULIFICATIONS AND MEMBERSHIP NUMBER	SELF EVALUATION	MARKS BY CIDA
e. Corporate members of Institution of Fire Engineers (IFE) (UK)				.\1		
1						
2						
f. Chartered Engineer / Member of CIBSE (UK)						
1						
2						
g. Member of Chartered Institution of Plumbing and Heating Engineers, UK (CIPHE)			. (10.		
1						
2						
h. Chartered Quantity Surveyor						
1						
2						
i. Departmentally Qualified Engineer						
1						
2)				
j. Graduate Engineer or equivalent with 6 year experience	35					
1						
2						
k. Incorporated Engineer with 10 years experience						
1						
2						
1. Member of American Society of Heat, Refrigeration & Air Conditioning (MASHRAE)						
1						
2				·		

NAME	FIELD OF SPECIALITY	NIC NUMBER	MEMBERS EPF NO.	PROFESSIONAL QULIFICATIONS AND MEMBERSHIP NUMBER	SELF EVALUATION	MARKS BY CIDA
m. Member of Institute of Refrigeration (MINSTR)						
1						
2						
n. Graduate Engineer or equivalent						
1						
2						
o. Graduate Quantity Surveyor						
1						
2						
p. Incorporated Engineer						
1						
2						
q. Engineer (Vocationally Qualified-NVQ Level 7)						
1						
2						
r. Assistant Engineer						
1						
2						
s. Assistant Quantity Surveyor						
1						
2						

SPECIALISED CONSTRUCTION (SP-C) - TRADES ONLY

C2

NAME	FIELD OF SPECIALITY	NIC NUMBER	MEMBERS EPF NO.	PROFESSIONAL QULIFICATIONS AND MEMBERSHIP NUMBER	SELF EVALUATION	MARKS BY CIDA
a. Chartered Engineer with 15 years Experience						
1						
2						
3						
b. Chartered Architect with 15 years experience			7,0	10.		
1						
2						
c. Chartered Quantity Surveyor with 15 years experience						
1						
2						
d. Chartered Engineer with 10 years Experience						
1						
2						
e. Chartered Architect with 10 years experience	10.					
1						
2						
f. Chartered Quantity Surveyor with 10 years experience						
1						
2						
g. Chartered Engineer						
1						
2						

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NAME	FIELD OF SPECIALITY	NIC NUMBER	MEMBERS EPF NO.	PROFESSIONAL QULIFICATIONS AND MEMBERSHIP NUMBER	SELF EVALUATION	MARKS BY CIDA
h. Chartered Architect						
1						
2						
i. Chartered Quantity Surveyor						
1						
2						
j. Departmentally Qualified Engineer			. 2			
1						
2						
k. Graduate Engineer or equivalent with 6 year						
experience 1						
2						
1. Incorporated Engineer with 10 years experience						
1						
2						
m. Graduate Engineer or equivalent						
1						
2						
n. Architect						
1						
2						
o. Graduate Quantity Surveyor						
1						
2						

NAME	FIELD OF SPECIALITY	NIC NUMBER	MEMBERS EPF NO.	PROFESSIONAL QULIFICATIONS AND MEMBERSHIP NUMBER	SELF EVALUATION	MARKS BY CIDA
p. Incorporated Engineer						
1						
2						
q. Engineer (Vocationally Qualified)				60		
1				<i></i>		
2						
r. Assistant Engineer						
1						
2						
s. Architectural Licentiate						
t. Assistant Quantity Surveyor						
		J				

Note: In the case of SP3 & EM4/SP4 Contractors the details of the consultant should be filled under the relevant category. The Documentation needed to be submitted are a binding consultancy agreement [Annex (III)] with a letter of consent from the Consultant [Annex (IV)]

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C 3 TECHNICAL STAFF - Regular / In-house Staff Only

Documents to be attached:

- 1. Evidence of Employment (Give details of EPF paid copies of "C" Forms six months pervious & Central Bank payment Records)
- 2. Copies of Educational, and Professional Certificates
- 3. Documents to prove relevant experience
- 4. Curriculum Vitae in a format as shown in Annexure I (Reproduce if necessary)

NAME	NIC NUMBER	MEMBERS EPF NO.	TECHNICAL QULIFICATIONS	SELF EVALUATION	MARKS BY CIDA
a. Engineering Assistant			70		
1					
2					
3				•••••	
b. Technical Assistant					
1					
2					
3					
c. Quantity Surveying Assistant					
1					
2					
3					
d. Foreman					
1					
2					
3					
e. Supervisor					
1					
2					
3					
f. Construction Craftsman / NVQ Level 3					
1					
2					

SECTION D - EXPERIENCE AS A SPECIALIST CONSTRUCTION CONTRACTOR

The Contractor should submit Documentary evidence to support his experience as a Construction Contractor.

The Documents to be attached are the copies of the relevant pages of the CIDA record book (certified by the Consultant) with necessary entries for the completion of work backed by:

- 1. Completion certificates issued by qualified consultants.
- 2. Completion certificates issued by state sector clients (In the case of private clients, certificate issued by the Consultant for the particular project and the final payment certificate certified by the qualified consultant should also be attached).
- 3. Agreements related to the project

D1 - ORGANISATIONAL EXPERIENCE

FIELD OF SPECIALITY	NO. OF YEARS OF EXPERIENCE	SELF EVALUATION	MARKS BY CIDA
	0		

D2 - CONSTRUCTION WORK PERFORMED DURING LAST 5 YEARS

YEAR (In the descending order)	TURNOVER (Construction only)	SELF EVALUATION	MARKS BY CIDA
Year 1 ()			
Year 2 ()			
Year 3 ()			
Year 4 ()			
Year 5 ()			
TOTAL			

D3 THE LARGEST CONSTRUCTION CONTRACT COMPLETED DURING LAST **FIVE YEARS**

FIELD OF SPECIALTY	FINAL CONTRACT VALUE	YEAR COMPLETED	PROJECT , LOCATION &CLIENT	SELF EVALUATION	MARKS BY CIDA

WORK IN HAND – (Attach documentary evidence) **D4**

CLIENT	DESCRIPTION OF CONTRACT	CONTRACT AMOUNT
	160	
	91.	

SECTION E - OTHER INFORMATION

$E\ 1$ - OTHER STAFF (ADMINISTRATIVE AND MANAGEMENT)

Regular / In-house staff only

Documents to be attached:

- 1. Evidence of Employment (attach details of EPF paid Copies of "C" Forms for six months & Central Bank payment records)
- 2. Educational & Professional Certificates.
- 3. Curriculum Vitae in a format as given in Annex (I)

NAME	PROFESSIONAL QAULIFICATIONS	NO OF YEARS OF EXPERIENCE
		\0
		:00

SELF EVALUATION	MARKS BY CIDA
507	
30	

E 2 -	TRADE	E TESTED	EMPI	OYEES

No of employees:

Documents to be attached:

- 1. Copies of trade tested certificates
- 2. Proof for employment

SELF EVALUATION	MARKS BY CIDA

E 3 - HAS THE COMPANY POSSESS A VALID SYSTEM MANAGEMENT CERTIFICATION (Attach the copy/copies of the valid System Management Certificates)

			SELF EVALUATION	MARKS BY CIDA
ISO 9000	YES	NO		
ISO 14000	YES	NO		
OHSAS 18000	YES	NO		

E 4 - DETAILS OF CONSTRUCTION EXCELLENCE AWARD /MERIT CERTIFICATE /NCASL AWARD OBTAINED DURING LAST FIVE YEARS? (Attach relevant certificates)

PROJECT	TYPE OF AWARD & THE AWARDING ORGANIZATION	YEAR OF AWARD	SELF EVALUATION	MARKS BY CIDA

E 5 - DETAILS OF WORKSHOPS, SHORT COURSES, SEMINARS & OTHER TRAINING PROGRAMME ATTENDED TO GAIN CCD POINTS – (Attach details, certificates or any other available information)

MARKS BY CIDA

NAME OF THE COURSE	PERSON/S OF THE COURSE ATTENDED (FULL		COURSE		SELF
TARRE OF THE COURSE	TIME STAFF ONLY)	DATE	DURATION		EVALUATION
			. 9	0	

E 6 - DETAILS OF CONSTRUCTION SAFETY & OCCUPATIONAL HEALTH PERSONNEL ENGAGED

NAME	NIC NO.	EPF NO.	QUALIFICATION	SELF EVALUATI	ON BY CIDA
CONSTRUCTION SAFETY & OCCUPATIONAL HEALTH MANAGER					
•					
CONSTRUCTION SAFETY & OCCUPATIONAL HEALTH OFFICER					
•					
CONSTRUCTION SAFETY & OCCUPATIONAL HEALTH SUPERVISOR					
•					
•					

E 7 - DETAILS OF <u>NVQ LEVEL 3</u> QUALIFIED PERSONS EMPLOYED. (Attach a Separate Sheet to Furnish Additional Names)

NAME	NIC NO.	EPF NO.	NVQ LEVEL	SELF EVALUA- TION	MARKS BY CIDA
			. ~ ~		
			7.0.		

E 8 - DETAILS ON PROVISION OF TRAINING TO EXTERNAL TRAINEES (FOR EM1 / SP1 GRADES ONLY) (Attach documentary evidence)

NAME OF THE TRAINEE	NIC NO.	NAME OF THE TRAININAG INSTITUTE	YEARS OF TRAINING PROVIDED	SELF EVALUA- TION	MARKS BY CIDA
	20				
10	5				
.010					

E 9 - DETAILS OF CSR ACTIVITIES

PROJECT NAME	SUMMARISED DESCRIPTION OF WORK	MAGNITUDE	YEAR OF COMPLETION	SELF EVALUA- TION	MARKS BY CIDA

I / We hereby certify that the information provided in this application including annexes and supporting documents are true and accurate as at this date. I /We am / are aware in the event that any information given is found to be incorrect or that relevant information is with-held, my/our application will be automatically disqualified in addition to any further action Institute for Construction Industry Development Authority (CIDA) may decide to take.

Signature	:
Name of authorized person	:
Designation / Title	:
Date of application	

CURRICULUM VITAE OF KEY PERSONNEL

This form should be reproduced as necessary so that particulars of each of the staff members referred to in sub sections C1 & E1 of the application form is set out in a fresh form.

PERSONAL PA	RTICULARS
-------------	-----------

NAME	
ADDRESS	

DEGREES, DIPLOMAS, PROFESSIONAL QULIFICATIONS AND TRADE CERTIFICATES

AWARDING INSTITUTIONS	QULIFICATIONS	YEAR

Please indicate any other programmes	/courses	which	the	member	has	attended	and	which	are
relevant to his/her appointment.									

RECORD OF EXPERIENCE

PERIOD		APPOINTMENT & BRIEF DESCRIPTION OF DUTIES	NAME OF EMPLOYER			
FORM	ТО	DUTES				

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AFFIDAVIT

I	
of	being a Buddhist /
Christ	ian / Hindu / do hereby solemnly sincerely and truly declare and swear / affirm as
follow	rs:
1)	I am the deponent above named.
2)	I state that the information, documents and statements submitted by me attached to my application to the CIDA for grading and registration of Contractors are true and correct.
3)	I state that if any one of those information, documents and claims furnished by is found to be incorrect will result in rejection of my application for registration and grading of Contractors.
4)	I also state that I am subject to the control of the disciplinary code of CIDA for the Construction Contractors.
5)	I indemnify the CIDA from any Criminal of Civil Liability whatsoever arising out of or in- relation to my registration as a Construction Contractor.
	The foregoing contents were read over and explained to the deponent by me and having understood same affirm/swear to and placed his/her signature in my presence at

Signature on Rs.50/- Stamp deponent before me

Justice of the peace

(Sample format of the Agreement between the Contractor & Consultant)

DRAFT AGREEMENT FOR CONSULTANCY SERVICE

BETWEEN

	DET WEEK
AND	(Contractor)
THIS	AGREEMENT is made and entered into this day of
	.between, on the one hand,
	the contractor) and on the other hand
consul	
(A)	The contractor needs the services, on a part time basis, of the consultant, to provide professional and technical support in the preparation of tenders for construction contracts, carrying out contract administration and management and supervision of works for a period of three years from the date hereof, and
WHER	REAS
(B)	The consultant has agreed to provide the above mentioned services.
NOW	THEREFORE the parties hereto agree as follows:
1.	The consultant shall attend to the services listed in paragraph (A) herein above as required and in a manner so that the contractor's works are proceeded smoothly and without interruption during a period of three years from the date hereof.
2.	In consideration of the consultant providing the services listed herein above the contractor agrees to pay the consultant at the monthly rate of Rs

INWITHNESS WHEREOF the parties hereto have l	hereunto set their h	ands on the day and year just
above written.		
Sig:	Sig. :	
CONTRACTOR		CONSULTANT
In the presence of:		
Witness 1	Witness	1
Witness 2	Witness	2
NOTARIAL ATTESTATION		9.10
INota	ry Public of	
hereby attest that the parties to this Agreement and the	Witnesses set their	hands hereto in my presence at
this	day of	20
469//		NOTARY PUBLIC
		(Seal)

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(Sample Letter to be submitted by Consultant)	
Director (Development) CIDA.	12.011.
PROVISION OF CONSULTANCY SERVICES TO	: 40.
TROVISION OF CONSULTANCE SERVICES TO	
This is to inform you that I have agreed to provide my se	
	. as per the per performance agreement signed
between	
	&
and attached to this application for Registration & Grac	ling. I also certify that my services in a similar
capacity are utilized at the moment by,	
1	
34.	
Thank you,	
Yours faithfully,	
•	